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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Case No. 00-1237-A)

In the Application of:

Rajgarhia, et al.

Serial No. 09/992,430

Filed: November 23, 2001

For: Methods and Materials for the  
Synthesis of Organic Products

Before the Examiner:

Group Art Unit: 1645

Confirmation No.: 5475

**TRANSMITTAL LETTER**

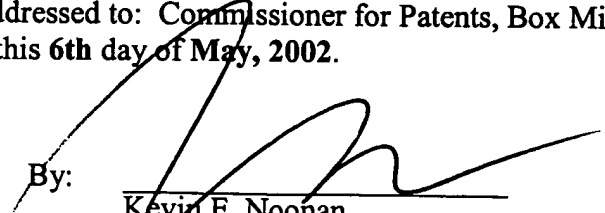
Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In regard to the above-identified application:

1. We are transmitting herewith the attached
  - a. Petition for Extension of Time
  - b. Response to Notice to File Missing Parts of Application
  - c. Executed copy of Oath or Declaration
  - d. Twenty-two (22) sheets substitute drawings
  - e. Verified Statement Under 37 C.F.R. § 1.821(f)
  - f. Paper and computer-readable copies of the Sequence Listing
  - g. Copy of the Notice to File Missing Parts of Application
  - h. Return Receipt Postcard
2. With respect to additional fees:
  - a. An additional fee of \$1,050.00 is required.
3. A check in the amount of \$1,050.00 is enclosed.
4. Please charge any deficiency in the amount due, or credit any overpayment, to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
5. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as "First Class Mail" in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on this 6th day of May, 2002.

By:

  
Kevin E. Noonan  
Reg. No. 35,303



PATENT

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Before the Examiner:

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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**MAILED DECEMBER 6, 2001**

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

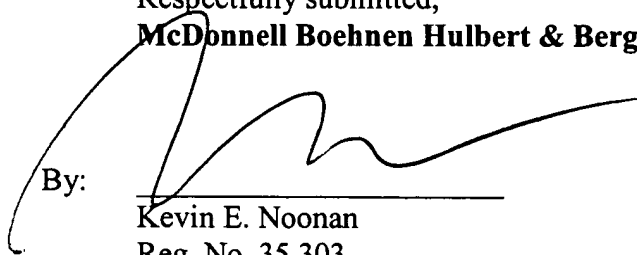
Responsive to the Notice to File Missing Parts of Application, mailed December 6, 2001, Applicants submit herewith an executed copy of their Declaration, pursuant to 37 C.F.R. §§1.63 and 1.68. Also submitted are twenty-two (22) sheets of substitute drawings in compliance with 37 C.F.R. § 1.84, a Verified Statement Under 37 C.F.R. § 1.821(f), and paper and computer-readable copies of the sequence listing.

Please apply \$130.00 of the enclosed check toward the oath or declaration surcharge as set forth in 37 C.F.R. § 1.16(l).

Respectfully submitted,  
**McDonnell Boehnen Hulbert & Berghoff**

Date: May 6, 2002

By:

  
Kevin E. Noonan  
Reg. No. 35,303



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/992,430	11/23/2001	Vineet Rajgarhia	00-1237-A

20306  
MCDONNELL BOEHNEN HULBERT & BERGHOFF  
300 SOUTH WACKER DRIVE  
SUITE 3200  
CHICAGO, IL 60606

COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 5475

FORMALITIES LETTER



\*OC000000007164071\*

DOCKETED

DEC 10 2001

DUE DATE:

2/6/02

Date Mailed: 12/06/2001

BY:

KB CB



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and,

where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).


- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

  
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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE